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The nature of gene evolution on the mammalian Y chromosome: lessons from *Sry*

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SUMMARY

With the exception of a small region, heteromorphic sex chromosomes of mammals do not undergo recombination in male meiosis. As a result, the majority of the Y chromosome is clonally transmitted through paternal lineages. Numerous phenomena, including the Hill-Robertson effect, Muller's ratchet, genetic hitch-hiking, and male-driven molecular evolution, are associated with the special transmission properties of the Y chromosome, and can potentially explain the tempo and pattern of gene evolution on the mammalian Y. We explore these phenomena in light of comparative data from the Y-linked sexdetermining locus, Sry. Sry exhibits rapid amino acid divergence between species and little to no variation within species. We find no evidence for directional selection acting on this locus. The pattern of evolution between species is consistent with the Hill-Robertson effect and Muller's ratchet. Lack of variation in Sry within species may reflect genetic hitch-hiking, however, we cannot exclude the confounding effects of small effective population size of Y chromosomes. We find no support for male-driven molecular evolution for Sry in Old World mice and rats. However, a more appropriate test of this hypothesis would be to compare the evolution of Sry to the X-linked Sox3 gene in these same species. Clearly, more comparative studies of Sry and other Y-linked loci are needed to characterize the effects of Y chromosome transmission on the evolution of Y-linked sequences.

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1. INTRODUCTION

Molecular evolutionary studies of gene sequences are increasingly utilized to identify functionally significant regions of genetic loci. With some exceptions, highly conserved regions signify functional domains, whereas less conserved regions indicate domains of relaxed functional constraint. The basic assumption behind these studies is that different regions of the genome evolve independently through the processes of mutation, selection and drift. However, theoretical and empirical studies (see below) have shown that linked genetic loci may not evolve independently of one another. These results are especially relevant to comparative studies of genes found on the nonrecombining region of the mammalian Y chromosome, where linkage is complete.

In this paper, we discuss various phenomena that have been proposed to explain the evolution of Ylinked genes. These include the Hill-Robertson effect (Hill & Robertson 1966; Felsenstein 1974, 1988), Muller's ratchet (Muller 1918; Felsenstein 1974; Charlesworth 1978; Haigh 1978), genetic hitch-hiking (Maynard-Smith & Haigh 1974; Kaplan et al. 1989; Begun & Aquadro 1992), and male-driven molecular evolution (Haldane 1947; Miyata et al. 1987). We also consider the possible impact of hemizygosity on the evolution of Y-linked genes, and the consequences of small effective population size of Y chromosomes relative to autosomes. Finally, we examine within- and between-species comparative data from the chromosome-linked sex-determining locus, Sry, in light of these theoretical considerations. In so doing, we provide a framework in which to interpret the pattern and rate of sequence evolution for Y chromosomelinked genes in mammals.

2. THE EVOLUTION OF HETEROMORPHIC SEX CHROMOSOMES

Muller (1914) hypothesized that the heteromorphic sex chromosomes of Drosophila evolved from a homologous pair of chromosomes by suppression of recombination and the subsequent loss of gene function on the chromosome that became the Y. This hypothesis was later recalled to explain the evolution of heteromorphic sex chromosomes in vertebrates, including the evolution of the mammalian X and Y chromosomes (Ohno 1967). Two observations from recent genetic mapping data provide support for this hypothesis. First, all the known functional Y-linked genes isolated from human or mouse are related to genes on the X chromosome (Stevanovic et al. 1993; Foster & Graves 1994; Collignon et al. 1996; reviewed in Disteche 1995), giving support to the idea that the X and Y chromosomes of mammals evolved from a homologous pair of chromosomes. These loci are effectively nonallelic and divergence in structure and function are possible. Second, in contrast to the X chromosome,

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only a few functional loci have been mapped to the mouse Y chromosome (see, for example, Bishop 1993; Brown et al. 1993), suggesting that loss of gene function on the Y has taken place. Numerous studies of human and mouse sex chromosomes have shown that, with the exception of a small region that recombines with the X, the Y chromosome does not undergo recombination in male meiosis. The differential segment of the Y chromosome is thus clonally transmitted from father to son.

3. THE EVOLUTION OF THE Y CHROMOSOME

Several phenomena (see, for example, Muller 1918; Fisher 1935; Hamilton 1967; Nei 1970; Charlesworth 1978, 1991; Lucchesi 1978; Rice 1987) have been proposed to explain the evolution of the Y chromosome. Noteworthy among these are three that especially affect chromosome regions where there is no recombination: the Hill-Robertson (H-R) effect (Hill & Robertson 1966; Felsenstein 1974, 1988), Muller's ratchet (Muller 1964; Felsenstein 1974; Charlesworth 1978; Haigh 1978), and genetic hitch-hiking (Maynard-Smith & Haigh 1974; Rice 1987; Birky & Walsh 1988; Kaplan et al. 1989).

The Hill-Robertson effect is a phenomena whereby linked genetic loci interfere with each other's response to selection. Birky & Walsh (1988) have shown that the H-R effect results in a decrease in the accumulation of advantageous mutations, but a more rapid accumulation of slightly deleterious mutations, at linked sites over time. Because Y-specific genes are by definition completely linked, they are especially susceptible to the H-R effect and one expects a more rapid accumulation of replacement substitutions, but not silent ones, as the latter are probably neutral and thus not affected by recombination rate (Birky & Walsh 1988; Charlesworth et al. 1993b). The overall effect at the nucleotide level would be a higher ratio of replacement to silent substitutions for Y-linked loci relative to X-linked and autosomal loci.

Muller's ratchet is a strictly stochastic process whereby the class of non-recombining chromosomes (for example, the mammalian Y) with the fewest number of deleterious mutations is lost from the population (reviewed in Charlesworth et al. 1993 b). Because there is no recombination, and if back mutations are rare, the chromosome class with the fewest number of mutations cannot be recovered. This results in an increase in genetic load on the non-recombining chromosome from one generation to the next. Felsenstein (1988) argues that both the H-R effect and Muller's ratchet result from 'linkage disequilibria randomly generated by genetic drift' in finite populations.

The interaction of linkage and selection at the population level is referred to as genetic hitch-hiking. Hitch-hiking occurs when an advantageous mutation is fixed in a population by selection and carries with it a linked neutral or slightly deleterious mutation. Hitch-hiking is likely to occur in genome regions with little or

no recombination (such as the mammalian Y) and can result, especially when the population size is small, in reduced levels of within population variation at linked neutral or slightly deleterious sites, relative to genome regions that undergo recombination. Alternatively, lack of variation could result from background selection against deleterious mutations elsewhere on the Y chromosome (Charlesworth et al. 1993 a).

The transmission of the Y chromosome strictly through paternal lineages can have additional consequences for its evolution. Because Y-linked genes are effectively hemizygous, the expression of a rare allele on the Y chromosome can not be masked by a dominant allele on a homologous chromosome. In contrast to the H-R effect, this should increase the rate at which rare beneficial alleles accumulate and decrease the rate at which deleterious alleles accumulate. However, like genetic hitch-hiking, it has the effect of lowering the production of allelic diversity at the population level (Charlesworth *et al.* 1987; reviewed in Rice 1988).

In addition, because of its' paternal transmission, the effective population size of Y chromosomes relative to X chromosomes and autosomes is significantly reduced. When the male-to-female breeding sex ratio is 1, Y-linked genes are only one-quarter as numerous as autosomes and one-third as numerous as X chromosomes. If the male-to-female breeding sex ratio is greater than one, as is the case for polygynous species, then the effective population size of Y chromosomes relative to autosomes is reduced even further. Given the potentially small population size of Y chromosomes, reduced variation at Y-linked loci relative to X-linked and autosomal loci could result from stochastic bottleneck effects (Nei et al. 1975).

The transmission of Y-linked loci through paternal lineages may also produce an increase in mutation rate relative to autosomal and X-linked loci. This hypothesis, referred to as male-driven molecular evolution and first proposed by Haldane (1947), is based on the observation that the number of germ-cell divisions per generation is much higher in the male germ-line than in the female germ-line. Several studies (Miyata et al. 1987; Pamilo & Bianchi 1993; Shimmin et al. 1993, 1994; Chang et al. 1994) in which Y-linked loci were compared with X-linked or autosomal loci, provide evidence to support this hypothesis. Specifically, these studies suggest that the neutral rate of substitution for Y-linked genes is significantly higher than the neutral rate of substitution for autosomal or X-linked genes, and that this effect is more pronounced in species with long generation times (for example, primates), than in species with short generation times (for example, rodents).

4. EMPIRICAL DATA FROM Sry

The Y chromosome-linked sex determining locus, identified in 1990 (Sinclair et al. 1990; Gubbay et al. 1990), has been sequenced in over 20 mammalian species (Sinclair et al. 1990; Gubbay et al. 1990; Foster et al. 1992; Bianchi et al. 1993; Tucker & Lundrigan

1993; Whitfield et al. 1993; Coward et al. 1994; Lundrigan & Tucker 1994; Payen & Cotinot 1994). A fewer number of population level surveys of Sry have also been conducted (Lundrigan & Tucker 1994; Nachman & Aquadro 1994; Miller et al. 1995). Taken together, these comparative data permit description of Sry evolution in mammals, and allow us to examine whether the observed patterns are consistent with the H-R effect, Muller's ratchet, genetic hitch-hiking, and male-driven molecular evolution.

(a) Species level comparisons

Sry contains a single exon consisting of a central DNA-binding motif (HMG box), 79 amino acids in length, and flanking sequences. The DNA sequence of the HMG box is similar across species of marsupial (infraclass Metatheria) and placental (infraclass Eutheria) mammals (Gubbay et al. 1990; Sinclair et al. 1990; Foster et al. 1992; Bianchi et al. 1993; Tucker & Lundrigan 1993; Whitfield et al. 1993; Coward et al. 1994; Hacker et al 1995; Lundrigan & Tucker 1994; Payen & Cotinot 1994). However, there is little to no sequence similarity in flanking regions between these two infraclasses, or among orders within each infraclass. Flanking sequence varies in both length and composition. For example, although the N-terminal region of Sry in primates is 58 amino acids long, it is only two amino acids long in species belonging to the rodent family Muridae, subfamily Murinae. The Cterminal region in primates varies in length from 70 amino acids in humans, to 94 amino acids in marmosets (Whitfield et al. 1993), and in Old World mice and rats (subfamily Murinae), from 92 amino acids in Hylomyscus alleni, to 313 amino acids in Mus musculus (Tucker & Lundrigan 1993; Hacker et al. 1995). Significant length variation in the C-terminal region is evident even between sibling species of house mice, M. musculus and M. domesticus: the C-terminal region of Sry in laboratory mice carrying a M. musculus Y chromosome is 313 amino acids in length (Hacker et al. 1995). This same region in laboratory mice carrying a M. domesticus Y chromosome ranges in length from 153 to 155 amino acids (Coward et al. 1994). The difference in size between M. musculus and M. domesticus Sry sequences is due to a C to T transitional substitution at nucleotide position 9906 (numbers refer to the base pair position of Sry in GenBank entry X67204) resulting in the replacement of a glutamine in M. musculus with a stop codon in M. domesticus.

The flanking regions also show a markedly different pattern of substitution than the HMG box domain. For example, a comparison of the pattern of substitutions between human and marmoset indicates a much higher ratio of replacement to silent substitutions (dn/ds) in flanking regions (dn/ds = 0.44 for N-terminus, dn/ds = 0.79 for C-terminus) than in the HMG box (dn/ds = 0.12) (Whitfield *et al.* 1993). A similar pattern is observed in comparisons of other primate species, and in comparisons of Old World mice and rats. For example, in a comparison of the house mouse species, *M. musculus*, with the African wood mouse, *Hylomyscus alleni*, the ratio of replacement to silent substitutions

(dn/ds) in the C-terminal region is 0.41, while in the HMG box it is only 0.21 (Tucker & Lundrigan 1993). It is interesting to note that although the dn/ds ratios in the HMG box are lower than those in the flanking regions, they are high relative to many other protein encoding genes. For example, we noted from a comparative study of 28 loci from laboratory mouse and rat (O'hUigin & Li 1992) that only two loci had a higher dn/ds than the HMG box. These data suggest that the amino acid sequence of *Sry* is diverging rapidly relative to most other protein encoding genes.

There are several evolutionary scenarios that could explain this result. First, it is possible that Sry is undergoing adaptive change. For example, it has been hypothesized that amino acid changes in Sry act as a reproductive isolating mechanism between species because if these changes cause Sry to malfunction on a hybrid genetic background, the result would be an all female hybrid population in which XY females are likely to be sterile. There is evidence at the molecular level that directional selection in abalone sperm lysins may have evolved as a 'pre-mating' reproductive isolating mechanism (Lee & Vacquier 1992; Lee et al. 1995). However, it is difficult to imagine how directional selection could operate as a 'post-mating' reproductive isolating mechanism, as would have to be the case for Sry. Another adaptive explanation is that rapid sequence evolution of Sry may result from maternal/foetal conflict in which an arms race develops between foetally expressed selfish Y-linked genes and maternally derived suppressors (Hurst 1994 a,b). However, no mechanism for this has, as yet, been identified.

Following Hughes et al. (1990), we tested the hypothesis that selection is acting on certain characteristics of the Sry amino acid sequence (charge, polarity, and functional characteristics as defined by Miyata et al. 1979) by examining whether replacement substitutions resulted in conservative or radical amino acid changes with respect to these characteristics. The underlying assumption of this analysis is the following: if amino acid substitutions take place at random with respect to a particular characteristic (e.g. charge), we would expect the proportion of conservative (i.e. same charge) and radical (i.e. different charge) amino acid replacements to be equal. If there are significantly more conservative than radical changes, we could argue that a particular amino acid characteristic is adaptively constrained, and if there are significantly more radical than conservative, then it is under directional selection. We focused our analysis on a comparison between M. musculus and H. alleni, two species known to carry only a single copy of Sry (Nagamine 1994; Tucker & Lundrigan, personal observation). The results (see table 1) indicate some conservation of charge in the HMG box (p < 0.05), but no other evidence for selection, at least when comparing broadly defined regions such as the HMG box and C-terminus.

A second possible explanation for the relatively high number of amino acid replacement substitutions in *Sry* is the H-R effect. Recall that the H-R effect is likely to be most pronounced in genome regions with little or no recombination. Slightly deleterious mutations are

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Table 1. Conservative and radical amino acid substitutions with respect to charge, polarity and functional group (Miyata et al. 1979) in Sry between two species of murine rodent, Mus musculus and Hylomyscus alleni

(Calculations were made using the program SCR provided by A. Hughes. Separate comparisons are made for the Nterminus/HMG box and C-terminus (to amino acid position 137). The total number of silent substitutions per potential number of silent sites is 6.00/48.42 for the N-terminus/HMG box and 6.67/34.92 for the C-terminus. The total number of replacement substitutions per potential number of replacement sites is 5.00/188.58 for the N-terminus/HMG box and 11.33/118.08 for the C-terminus. Standard errors are given in parentheses. Probability values (p) were determined by Fisher's Exact Test.)

	type of amino	no. of sites	no. of hits	proportion of differences	significance
	acid change				
charge					
N-terminus/HM0	G box				
	conservative	91.25	5.00	0.0548 (0.0238)	
	radical	97.33	0.00	0.000 (0.0000)	p = 0.03
C-terminus					
	conservative	66.67	9.33	0.1400 (0.0425)	
	radical	51.42	2.00	0.0389 (0.0270)	p = 0.06
polarity					
N-terminus/HM0	G box				
	conservative	129.25	4.00	0.0309 (0.0152)	
	radical	59.33	1.00	0.0169 (0.0167)	p = 0.34
C-terminus					
	conservative	75.50	5.33	$0.0706 \ (0.0295)$	
	radical	42.58	6.00	0.1409 (0.0533)	p = 0.11
functional group					
N-terminus/HM0	G box				
	conservative	53.00	2.00	0.0377 (0.0262)	
	radical	135.58	3.00	0.0221 (0.0126)	p = 0.30
C-terminus					
	conservative	35.00	6.33	0.1810 (0.0651)	
	radical	83.08	5.00	0.0602 (0.0261)	p = 0.05

expected to accumulate as a result of being linked to an adaptive mutation. This will result in a faster rate of accumulation of slightly deleterious mutations over time than would be expected for recombining sequences (Birky & Walsh 1988). As Charlesworth et al. (1993b) noted, these slightly deleterious mutations are likely to be replacement substitutions. Presumably, the accumulation of slightly deleterious mutations will be most pronounced in regions of relaxed functional constraint. Indeed, the higher dn/ds ratio in the Cterminus than in the HMG box (Tucker & Lundrigan 1993; Whitfield et al. 1993) may reflect the fact that the former is under relaxed functional constraints relative to the latter. We know from in vitro studies that the HMG box can bind specific DNA sequences (Nasrin et al. 1991; Ferrari et al. 1992; King & Weiss 1993; Natesan & Gilman 1993; Harley et al. 1994), and mutations in the HMG box have been associated with gonadal dysgenesis (reviewed in Hawkins 1993; Poulat et al. 1994). We know less about the action of the Nterminal and C-terminal regions. However, given their rapid evolution, it is difficult to imagine that these regions are under strict functional constraint.

Expression studies of mouse and human have shown that, in M. musculus musculus, Sry has multiple start codons, and a TCTG microsatellite in the 5' untranslated region that could form secondary structure (Hacker et al. 1995) and may result in inefficient translation. In human, there is only one start codon, but its non-optimal position may similarly result in inefficient translation (Vilain et al. 1992; Behlke et al.

1993; Clepet et al. 1993; Su & Lau 1993). This may reflect increased genetic load on the Y chromosome and is consistent with the process of Muller's ratchet.

If the pattern of evolution on the Y chromosome is shaped by the H-R effect, the relatively rapid rate of replacement substitutions will not be unique to Sry. Other genes in the non-recombining region of the Y should show the same pattern. Two kinds of studies are needed to determine whether the pattern of evolution for Sry is gene-specific or characteristic of the entire non-recombining portion of the Y chromosome. First, to minimize gene-specific effects, an examination of Sox3, the putative ancestral homologue of Sry (Stevanovic et al. 1993; Foster & Graves 1994; Collignon et al. 1996), from the same species used in the Sry studies, is needed. Some data are already available. Comparisons across broad taxonomic (marsupials, primates, and rodents) indicate that, in contrast to Sry, Sox3 shows a highly conserved pattern of sequence evolution (Stevanovic et al. 1993; Foster & Graves 1994; Collignon et al. 1996). Second, comparative studies of other Y-linked genes are needed to test the generality of the results from Sry. In a comparative study of exon sequences from the zinc finger genes (Zfx and Zfy) in species of the genus Mus, we found that the dn/ds ratio is higher for Zfy than for Zfx (R. Adkins & P. Tucker, unpublished data).

Finally, determination of the neutral rate of mutation in Sry and Sox3 for the same pair of species would provide a test for the theory of male-driven molecular evolution. The absence of intron sequences in Sry and

Sox3 limits this analysis to synonymous sites which may or may not be under selection. These data have not been collected for rodents or primates. We did find, however, that the synonymous rate of change in Sry between M. musculus and Rattus exulans fell well within the range of synonymous rates for 28 X-linked or autosomal loci compared for the same genera (Tucker & Lundrigan 1993). This suggests that male-driven molecular evolution has not markedly increased the rate of neutral change on the Y chromosome. However, this hypothesis needs more rigorous testing. Our comparison suffers from two weaknesses: first, we compared Sry to non-homologous loci with different nucleotide compositions (Wolfe & Sharp 1993); and second, our comparison of Sry between M. musculus and R. exulans may not have been between orthologous copies of Sry as multiple copies of Sry are found on the Y chromosome of R. exulans (P. Tucker & B. Lundrigan, personal observations).

(b) Population-level studies

Population level variation in Sry has been less extensively studied than between species variation. We examined 515 base pairs of unique sequence DNA from Sry, including 86 base pairs of the 5' untranslated region, the N-terminus, the HMG box, and 186 base pairs of the C-terminus in several species of Mus (Lundrigan & Tucker 1994). Our samples included representatives from four populations of M. musculus and six populations of M. domesticus. We found only two base pair substitutions (one replacement and one silent substitution) among the four geographically disjunct populations of M. musculus. No variation was found among the six geographically disjunct populations of M. domesticus (Lundrigan & Tucker 1994). However, in a subsequent comparison that included the C-terminal repeat region of M. domesticus, we did find variation among populations in the number of CAG repeats (Miller et al. 1995). Greater variation in repeat regions than in regions of unique sequence has been documented in other genes, and probably reflects their more rapid rate of mutation (Richards & Sutherland 1992, 1994). There are many possible interpretations for the low level of polymorphism in our sequence data. For example, the low level of variation in M. musculus and M. domesticus may reflect recent bottlenecks. This, however, seems an unlikely explanation for the absence of polymorphism in unique sequence among our six populations of M. domesticus as variation in allozymes and mitochondrial DNA occurs throughout the range of this species (Britton-Davidian 1990; Prager et al. 1993). Low polymorphism may also indicate the presence of functional constraints, however, the absence of substitutions at synonymous sites, coupled with the rapid evolution of this gene between species, makes this interpretation also unlikely. A more plausible explanation may be found in the special transmission properties of Y chromosomes. Both the small effective population size of Y chromosomes and their clonal inheritance (and consequent susceptibility to genetic hitch-hiking) will reduce polymorphism of Y-linked loci relative to autosomal and X-linked loci. To test for genetic hitch-hiking on the Y chromosome in M. domesticus and Mus spretus, Nachman & Aquadro (1994) compared levels of polymorphism to divergence for 1036 b.p. of non-coding DNA flanking Sry and 887 b.p. of the mitochondrial D-loop. The neutral expectation is that the ratio of polymorphism to divergence will be the same for different gene regions unless selection is acting on the gene or a closely linked locus (Hudson et al. 1987). There was no evidence for genetic hitch-hiking on the Y chromosome in M. domesticus (i.e. the proportion of polymorphism to divergence was the same for *Sry* and the D-loop). Their test was biased in favour of rejection of the neutral hypothesis because the effective population size of males is probably much smaller than females in M. domesticus. Nevertheless, their test may be a conservative estimate for two reasons. First, both the levels of polymorphism and divergence are small as the species used in their study are recently derived. Second, neither of the gene regions compared (Sry flanking sequence and the mitochondrial DNA control region) undergo recombination. They are, thus, equally susceptible to the effects of hitch-hiking.

5. CONCLUSION

Comparative data from population level and between species surveys of the sex-determining locus, Sry, show rapid gene evolution between species and little to no sequence variation within species. These data are consistent with predictions of the H-R effect, Muller's ratchet, and genetic hitch-hiking. One conclusion of our between-species study is that, because Y-specific genes are completley linked, selection can not operate efficiently on the non-recombining portion of the Y chromosome. However, to rigorously test whether the observed between-, as well as within-, species patterns of variation in Sry result from the unique transmission properties of the Y chromosome, more Y-linked genes, along with autosomal sequences, should be surveyed from a variety of species. For example, a study comparing species of ancient origin is likely to provide more nucleotide variation, and thus more information on the interaction between linkage and selection on the evolution of the Y, than a study of recently derived species such as M. musculus and M. domesticus. We must also come to better understand the effects of population size of Y chromosomes within species as this may confound any interpretation of population level variation. To do this, population level variation of Ylinked and autosomal sequences should be sampled from species with distinct mating systems (e.g. polygynous versus monogamous). These data should then be juxtaposed with information on between species divergence. In sum, these kinds of studies are essential to understanding evolutionary pattern and process for clonally inherited nuclear genes. They also provide an essential framework in which to interpret comparative data for the purposes of understanding gene structure and function on the mammalian Y.

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Discussion

- M. A. Ferguson-Smith (Cambridge University, Department of Pathology, U.K.). It is possible that sex reversal in inbred C57BL/6J which also carry a Mus domesticus poschiavinus Y chromosome is related to variation in the number of repeats in the C-terminal region of the Sry gene. Does Dr Tucker know of any other Sry variants of similar type known to cause sex reversal when placed in a Mus musculus background, perhaps through insufficient binding of the HMG domain to target sites?
- P. K. Tucker. The inbred mouse strain, C57B1/6J, like many inbred strains, is a mixture of both Mus musculus and Mus domesticus genomes, but carries a M. musculus Y chomosome. Sex reversal in C57B1/6J mice carrying a Mus domesticus poschiavinus Y chromosome has been correlated with the number of repeats in a specific region of the C-terminus of Sry in Mus domesticus poschiavinus. It has not yet been determined whether sex reversal in C57B1/6J mice carrying other Mus domesticus Y chromosomes is also correlated with variation in the number of repeats in this same region of Sry. As stated in my paper, the correlation between repeat length and sex reversal may be coincidental reflecting allelic variation elsewhere in the gene.